$\sigma | \pi$ versus $\pi | \pi$ Conjugation: DFT Studies on Oligocyclopropenones and Related Systems

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ABSTRACT



DFT studies on oligocyclopropenones and related systems 5(n)-9(n) are reported. A strong σ/π interaction between the π system and the σ framework of the three-membered rings is observed in almost all cases, leading to a perpendicular arrangement of the π systems in the most favored conformation. Also the shape of cyclic congeners is strongly influenced by σ/π interactions.

A simple and very useful concept in organic chemistry is the separation between σ and π framework within a molecule.¹ The geometries and the reactivities of numerous molecular entities can be explained by this approach.^{1,2} The most prominent examples are ethylene and benzene where the σ system lies in the plane of the molecule whereas the π system is located out of the plane. The connection of two ethylene units **1**(*1*) leads to butadiene **1**(*2*) whose most stable conformation shows C_{2h} symmetry with an *s*-trans arrangement of the two CC double bonds. This planar conformation is favored due to efficient overlap of the p orbitals or in other words to an efficient π/π^* interaction between the two double bonds. However, in more complex molecules such as in [2.2]paracyclophane (2)³ or strained bi-, tri-, and oligocyclic systems (e.g., 3 and 4),^{4,5} a hypothetical separation between the σ and π system does not suffice to describe their molecular properties and reactivities.



In all of these cases we observe a strong interaction between the σ and the π system due to geometrical constraints.³⁻⁵ In the case of **3** the two perpendicular π systems show a very large interaction via the σ system of its central four-membered ring.^{4b,c} Cyclooctatetraene derivative **4** is planar due to an effective σ/π^* interaction between the bicyclic σ framework and the π system of the eightmembered ring.⁵

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Commonly, the relative order of respective orbitals is the following: σ orbitals lie lower in energy than π orbitals, followed by π^* and σ^* orbitals. Thus, π orbitals overlap with π^* orbitals most efficiently in a parallel fashion that we call conjugation, leading to an expanded *all-trans* arrangement of oligoenes $\mathbf{1}(n)$ in the most favored conformation.

Starting from this simple observation we raised the question whether potentially conjugated systems can be manipulated by electronic means in such a way that π/π conjugation is significantly reduced. We excluded steric constraints such as the use of bulky substituents to force the π systems in a perpendicular manner.^{6,7} The idea was to use molecular units whose HOMO is of σ type (and in plane of the molecule) and whose LUMO is of π type (and out of plane of the molecule) or vice versa. The connection of two of these moieties shall lead to a most efficient interaction of σ - and π -type orbitals resulting in a perpendicular arrangement of the π systems of each unit.

It is well-known that the strained σ system of threemembered rings has two high-lying σ orbitals.⁸ The relative energy of π^* orbitals of olefins can be lowered by combining them with a π^* orbital of a CO group (cf. 1,4-cyclohexadiene vs *p*-benzoquinone). Taking these considerations together



Figure 1. HOMO (left) and LUMO (right) of **5**(*I*) as calculated on the level of HF/6-311G(d) based on a B3LYP/6-311G(d) optimized structure.

leads to the assumption that cyclopropenone⁹ moieties such as 5(1) are potential candidates to construct such a molecular assembly. An evaluation of the frontier orbitals of cyclopropenone has shown that such a molecule fulfils these

requirements (Figure 1). Substituted cyclopropenones^{10–12} and also cyclopropenone derivatives^{12d,13} were shown to be rather stable molecules; however, linked biscyclopropenones and derivatives thereof have not been elucidated by experimental or by theoretical means.



Therefore, we investigated the geometrical properties of a series of linked cyclopropenones and congeners thereof by ab initio studies. All systems were optimized by DFT methods (B3LYP/6-311G(d)),^{14,15} and the calculations were carried out with Gaussian 03.¹⁶

For all systems 5(2)-9(2) we scanned the potential energy surface by varying the torsion angle φ (in steps of 10°,

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relaxed energy scan) between the planes of the threemembered rings. The results are shown in Figure 2. As anticipated by our previous assumptions, a stable conformation with an almost perpendicular arrangement of the two ring planes was found for 5(2), 6(2), 7(2), and 9(2). The corresponding rotational barriers leading to the C_{2h} and $C_{2\nu}$ symmetric structures with conjugated π systems range from 1.2 for the selenium congener 7(2) to 30.9 kJ/mol for 9(2). Only for 8(2), the congener with *exo*-substituted CC bonds, a linear arrangement (C_{2h} symmetry) as in the case of butadiene 1(2) is more favorable (Table 1).

Table 1. Most Important Parameters of 5(2)-9(2) for Minima and Maxima of the Potential Energy Surface (Calculated at the B3LYP/6-311G(d) Level of Theory)

		$\varphi \ [deg]^a$	a [Å]	<i>b</i> [Å]	c [Å]	d [Å]	$\Delta E_{\rm rot} [\rm kJ/mol]^{l}$
5 (2)	C_2	95.1	1.400	1.352	1.497	1.400	0.0
	C_{2h}	180.0	1.434	1.349	1.447	1.434	11.6
6 (2)	C_2	107.6	1.399	1.341	1.460	1.401	0.0
	C_{2h}	180.0	1.418	1.340	1.437	1.420	4.0
7(2)	C_2	132.1	1.402	1.341	1.449	1.404	0.0
	C_{2h}	180.0	1.413	1.341	1.437	1.414	1.3
8 (2)	C_2	96.9	1.417	1.323	1.462	1.430	14.8
	C_{2h}	180.0	1.409	1.330	1.455	1.431	0.0
9 (2)	C_2	96.5	1.404	1.364	1.491	1.450	0.0
	C_{2h}	180.0	1.449	1.354	1.477	1.477	30.9
а	ø des	cribes the t	orsional	angle b	etween t	he two i	planes of the three-

 φ describes the forsional angle between the two planes of the three membered rings. ^b A value of 0.0 defines the global minimum.

A comparison of the distance *a* (for definition, see molecule **5**(2)) reveals short distances for $\varphi \approx 90^{\circ}$ and longer distances for $\varphi = 180^{\circ}$. These observations suggest that the σ/π^* interaction is much more efficient than the π/π^* interaction. Only in the CH₂ substituted system **8**(2), π/π^* interaction seems to be more favorable, leading to an increase of bond length *a* for $\varphi \rightarrow 90^{\circ}$ (Figure 3). In line with these observations the bond length *c* decreases when the planar C_{2h} symmetric structure is reached. A survey of all relevant bond lengths and the torsional angles φ for minima and rotational transition states of **5**(2)-**9**(2) is also given in Table 1.

NBO analyses¹⁷ have shown that the Wiberg bond indices $(WBI)^{18}$ of the formal single bond between the threemembered rings of **5**(2)-7(2) and **9**(2) are much larger if the π systems are not conjugated. For **5**(2) a WBI of 1.14 is observed for bond *a* in the most stable conformer, whereas in the planar C_{2h} symmetric structure with conjugated π systems only a WBI of 1.03 is observed. This behavior is exceptional and stands in strong contrast to systems such as common linked π systems (e.g., **1**(*n*), but also **8**(*n*)).

To unravel the various contributions leading to these unusual conformations, we used NBO analysis.¹⁷ Such an analysis reveals that the magnitude of the rotational barriers



Figure 2. Scans of the rotational energies of 5(2)-9(2) depending on the torsional angle φ as derived from B3LYP/6-311G(d) calculations.



Figure 3. Variation of distance *a* in 5(2)-9(2) depending on the torsional angle φ as derived from B3LYP/6-311G(d) calculations.



Figure 4. Most stable conformers of 5(2) (left) and 5(3) (right).

results essentially as a compromise of two effects: the energetic difference between high-lying σ orbitals with the low-lying π^* orbital and the energetic difference between the π orbital of one unit and the π^* orbital of the other. A preference of the latter interaction forces the system into a planar arrangement as it is observed in the case of **8**(2). For all other systems **5**(2)–**7**(2) and **9**(2) the principal interactions are $\sigma \rightarrow \pi^*$ interactions of the bent σ orbitals (bond *c* and

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to minor extent also bond *b*) with the unoccupied π^* orbital of the three-membered ring. Keeping these interactions in mind it is easy to understand the energetic order of the rotational barriers and the variation of the distance *a*. The more electron-withdrawing the CX (X = O, S, Se) or BH group is, the lower in energy is the π^* orbital. The bent σ orbitals are not that much affected by CX group, except in the case of **9**(*n*). The electropositive nature of boron leads to a bent σ orbital even higher in energy than for **5**(*n*)–**7**(*n*). Thus, **9**(**2**) shows the most pronounced effect of the series (Figures 2 and 3).

Besides the dimers we optimized also oligomers 5(n)-8(n)(n = 3-5) (see Supporting Information). The most stable conformation of 5(3) also resulting in a perpendicular arrangment of the π systems is shown in Figure 4. For the congener with the most distinct effect, 9(n), we elucidated the most favored conformations up to a 9-mer. As in Figure 5 depicted, one observes a helical structure consisting of



Figure 5. Optimized structure of 9(9) showing a helical arrangement of the three-membered rings.

three-membered rings, the result of the perpendicular arrangement of the π systems. Molecular orbital calculations have shown that the HOMOs and the LUMOs of these molecular entities are fully delocalized attributable to efficient σ/π interaction.

Finally, we analyzed the geometrical properties of cylopropenone hexamers and derivatives thereof arranged in a ring-like fashion, such as 10(6)-14(6). As anticipated from our previous results no planar structures were observed (with the exception of hydrocarbon 13(6)). The binary carbon-chalcogen compounds 10(6)-12(6) adopt a C_2 symmetric structure as depicted in Figure 6 for 10(6). In contrast, the BH containing counterpart 14(6) shows an almost $C_{2\nu}$ symmetric molecular structure. All of these calculations were performed without any symmetry restrictions. As anticipated from our previous investigations the hydrocarbon analog 13(6) prefers planarity as a result of the more efficient π/π^* interaction.

In conclusion, our investigations demonstrate that oligocyclopropenones and corresponding derivatives are minima



on the potential energy surface as shown by vibrational analysis. Most of their structures are determined by highly efficient σ/π interactions leading to C_2 symmetric conformations of the dimers with an almost perpendicular arrangement of the three-membered rings in the most favored conformation. This behavior is rationalized by high-lying σ orbitals



Figure 6. Optimized structures of 10(6) (left) and 14(6) (right).

being in the plane of the three-membered ring and low-lying π^* orbitals being out of plane. The double bond character between the π systems is larger for a perpendicular arrangement than for planarity. Synthetic efforts seem worthwhile to investigate these fascinating molecules and their remarkable conformations.

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Supporting Information Available: Gaussian archive entries and optimized structures for all calculated species; overview of Wiberg bond indices. This material is available free of charge via the Internet at http://pubs.acs.org.

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